

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

136.166

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

10/009,199

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/FR00/01593

08 June 2000 (8.06.00)

09 June 1999 (9.06.99)

TITLE OF INVENTION A Method for the Collective Production of Microlenses at the End of a Set of Optical Fibres of the Fibre Ribbon Type

APPLICANT(S) FOR DO/EO/US THUAL, Monique; CHANCLOU, Philippe and LOSTEC, Jean

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

English translation of PCT International Preliminary Examination Report

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a) (2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
|---|--------------|--------------|------------|----|------|
| Total claims | 0 -20 = | 0 | x \$18.00 | \$ | 0.00 |
| Independent claims | 0 -3 = | 0 | x \$80.00 | \$ | 0.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$270.00 | \$ | 0.00 |

TOTAL OF ABOVE CALCULATIONS =

\$ 0.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

+

SUBTOTAL =

\$ 0.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40.00

TOTAL FEES ENCLOSED =

\$ 170.00

04/11/2002 HRL111 00000056 10009199

01 FC:154

130.00 OP

Amount to be
refunded: \$
charged: \$

- a. ☒ A check in the amount of \$ 130 & \$40 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 14-1080. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

James E. Nilles
Nilles & Nilles, S.C.
Firstar Center, Suite 2000
777 East Wisconsin Avenue
Milwaukee, WI 53202
US

James E. Nilles
SIGNATURE

James E. Nilles
NAME

16,663
REGISTRATION NUMBER
April 5, 2002

05 APR 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL CERTIFICATE OF MAILING

National Phase Patent Application Filed Under 35 U.S.C. 371

U.S. Patent Application Serial No. 10/009,199; Filed December 6, 2001

International Application No. PCT/FR00/01593

Inventors: Monique THUAL, Philippe CHANCLOU, and Jean LOSTEC

Attorney Docket: 136.166

Customer No. 023907

Express Mail Label No. EL 844878540 US

Date of Deposit: April 5, 2002

I hereby certify that the following attached documents are being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office, Box PCT, Washington, D.C. 20231

Transmittal letter dated April 5, 2002

Notification of Missing Requirements Under 35 U.S.C. 371

Declaration (2-page)

\$130 check

English Translation of PCT International Preliminary Examination Report

Assignment, Recordal Sheet, and \$40 check

Postcard


Diane Schwaiger



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box 901
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

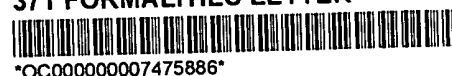
| | | |
|---|--|-----------------------------|
| U.S. APPLICATION NUMBER NO. 10/009,199 | FIRST NAMED APPLICANT Monique Thual | ATTY. DOCKET NO. 136.166 |
|---|--|-----------------------------|

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|---|
| INTERNATIONAL APPLICATION NO. PCT/FR00/01593 |
|---|

| | |
|--------------------------------|-----------------------------|
| I.A. FILING DATE 06/08/2000 | PRIORITY DATE 06/09/1999 |
|--------------------------------|-----------------------------|

James E Nilles
 Nilles & Nilles
 Firststar Center Suite 2000
 777 East Wisconsin Avenue
 Milwaukee, WI 53202

CONFIRMATION NO. 8176
371 FORMALITIES LETTER

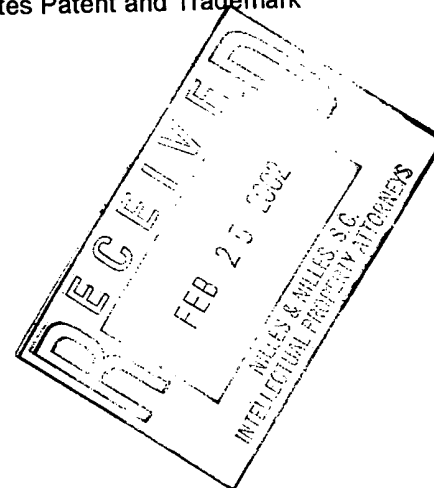


Date Mailed: 02/15/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Substitute Specification



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/009,199 | PCT/FR00/01593 | 136.166 |

FORM PCT/DO/EO/905 (371 Formalities Notice)

FILE NO:US10009199ATTY:JEN
DUE DATE:04-15-2002 (20020415)
CABINET BALLOT /INIT:(20020215)
ACTION:10 RES PER:2 M DK1
MISSING REQUIREMENTS DUE / 2090

FILE NO:US10009199ATTY:JEN
DUE DATE:03-15-2002 (20020315)
CABINET BALLOT /INIT: 20020215
ACTION:10 RES PER:6 MONTHS DK1
3 Month Follow-up Notice / 2090